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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: C. Freitas et al.

Attorney Docket No.: XANI117199

Application No.: 29/138,710

Group Art Unit: 2900

Filed: March 20, 2001

Title: ENERGY CONVERSION APPARATUS

PAYMENT OF PROCESSING AND RETENTION FEE

*Payment of processing
and Retention fee
C. Freitas
8-26-01*
Seattle, Washington 98101
October 17, 2001

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JAN 09 2002

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TO THE COMMISSIONER FOR PATENTS:

Pursuant to 37 C.F.R. §§ 1.53(b), 1.53(f), 1.78, and 1.21(l), applicants respectfully request that a processing and retention fee in the amount of \$130.00 to prevent disposal of the above-identified application be charged from Deposit Account No. 03-1740. The payment of the processing and retention fee is being submitted during the pendency of the above-identified application. Therefore, payment of the aforementioned fee preserves the right for applicants to obtain certified copies of the above-identified application. If any additional information is required in this matter, a representative of the U.S. Patent and Trademark Office is invited to contact the undersigned attorney at the number listed below.

Respectfully submitted,

CHRISTENSEN O'CONNOR
JOHNSON KINDNESS^{PLLC}

John D. Denkenberger
Registration No. 44,060
Direct Dial No. 206.695.1749

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I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid and addressed to the Commissioner for Patents, Washington, D.C. 20231, on the below date.

Date: October 17, 2001

JDD:mgp

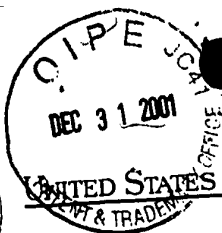
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| | | | |
|--------------------|---------------------|-----------------------|------------------------|
| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
| 29/138,710 | 03/20/2001 | Christopher Freitas | XANT117199 |

26389
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CONFIRMATION NO. 9759
FORMALITIES LETTER



OC000000005976966

Date Mailed: 04/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 320 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 450.

*A copy of this notice **MUST** be returned with the reply.*

Amelia Manalac

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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01/03/2002 BNGUYEN1 00000122 031740 29138710

01 FC:106 330.00 CH

02 FC:105 130.00 CH

Adjustment date: 01/07/2002 BNGUYEN1

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